



Docket No. 214472US PCT/In

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hiroyuki ATARASHI, et al.

SERIAL NO: 09/926,257

GAU:

FILED: January 4, 2002

EXAMINER:

FOR: A SINGLE CARRIER/DS-CDMA PACKET TRANSMISSION METHOD, AN UPLINK PACKET TRANSMISSION METHOD IN A MULTI-CARRIER/DS-CDMA MOBILE COMMUNICATIONS SYSTEM, AND A STRUCTURE OF A DOWNLINK CHANNEL IN A MULTI-CARRIER/DS-CDMA MOBILE COMMUNICATIONS SYSTEM

**INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

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**FEB 21 2002**

SIR:

Applicant(s) wish to disclose the following information.

**Technology Center 2600**

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references cited in the attached International Search Report listed on the attached form PTO-1449. Copies of the reference(s) have been received by the U.S. PCT Receiving Office from the International Bureau as acknowledged in the Notice of Missing Requirements under 35 U.S.C. 371.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

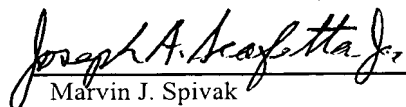
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



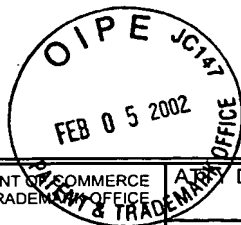
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SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		A. B. DOCKET NO. 214472US2PCT		SERIAL NO. 09/926,257	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Hiroyuki ATARASHI, et al.			
				FILING DATE January 4, 2002		GROUP FEB 21 2002	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,018,528	01/25/2000	R. D. GITLIN, et al.			
	AB	6,078,572	06/20/2000	M. TANNO, et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AC	11-164367	06/18/99	JAPAN (submitting corr. EP 0 920 226 only)			
	AD	0 920 226	06/02/99	EUROPE			
	AE	9-55693	02/25/97	JAPAN (submitting corr. CA 2,182,429 only)			
	AF	2,182,429	02/11/97	CANADA			
	AG	11-243380	09/07/99	JAPAN			
	AH	7-303090	11/14/95	JAPAN (submitting English translation and corr. US 6,018,528 only)			
	AI	WO 96/37079	11/21/96	WIPO (submitting corr. JP 11-505392 only)			
	AJ	11-505392	05/18/99	JAPAN			X
	AK	9-327073	12/16/97	JAPAN (submitting English translation only)			
	AL	9-233051	09/05/97	JAPAN (submitting corr. US 6,078,572 only)			
	AM	10-135928	05/22/98	JAPAN (submitting English translation only)			
	AN	WO 93/10600	05/27/93	WIPO (submitting corr. JP 6-504894 only)			
	AO	6-504894	06/02/94	JAPAN			X
	AP	2000-224231	08/11/2000	JAPAN (submitting English translation only)			
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	K. TOSHIMITSU, et al., Technical Report of IEICE, vol. 93, no. 93, pages 19-24, "THE SPREAD SLOTTED ALOHA SYSTEM WITH CHANNEL LOAD SENSING PROTOCOL", June 1993 (submitting English Abstract and English translation only)					
	AX						
	AY						
	AZ						
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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